

# Analysis of Adaptive Group Key Management Protocol Using Network Simulator 2

Mr. Rahul B. Mapari

Department of CSE, MIT, Aurangabad (MS),

Email: rahulmapari@gmail.com

Mr. Prashant M. Adhao

Department of IT, SGGS Institute of Engineering and Technology, Nanded (MS), India.

Email: p.adhao@gmail.com

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## ABSTRACT

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IP Multicast is increasingly used as an efficient communication mechanism for group oriented applications. This success urged the development of security mechanisms for that communication model. In dynamic multicast groups, new members may join or current members may leave the group dynamically. Due to dynamic nature of these multicast groups, the group key is needed to be changed dynamically to maintain group key confidentiality. Existing group key management protocols do not take into consideration the dynamicity of group members. In this paper we first classify proposed protocols for secure multicast and discuss their non suitability for dynamic group. This leads to inefficient solutions for real multicast session. We then propose an efficient protocol, called AKMP, which maintain good performance by adapting the key management process with the membership frequency during the multicast session. A simulation result shows that AKMP is more efficient than existing protocols.

**Keywords** – *backward secrecy, dynamic group, forward secrecy, secure multicast, traffic encryption key.*

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## I. INTRODUCTION

IP multicast is an efficient communication mechanism for group-oriented applications such as video conferencing, interactive group games and video on demand. IP multicast saves bandwidth by sending the source traffic on a multicast tree that spans all the members of the group. Security concerns for IP multicast are very complex because of the important number of communicating participants. This raises the problem of group communication confidentiality and thus group key management. Group communication confidentiality requires that only valid users could decrypt the multicast data even if the data is broadcast to the entire network. We assume in what follows that data is encrypted to ensure confidentiality using asymmetric cryptosystem. In this case, a symmetric key is used to encrypt data by the source and to decrypt it by receivers. It is generally called Traffic Encryption Key (TEK). The confidentiality requirements can be translated mainly into two key distribution rules [18]:

1) *Forward confidentiality*: Users that left the group should not have access to any future key. This ensures that a member cannot decrypt data after it leaves the group.

2) *Backward confidentiality*: A new user joining the group should not have access to any old key. This ensures that a member cannot decrypt data sent before it joins the group.

### 1. Rekeying Process

In order to meet the above requirements, a re-key process should be triggered after each join/leave. It consists in generating a new TEK and distributing it to the members including the new one in case of a join or to the residual members in case of a leave. This process ensures that a new member cannot decrypt old multicast data and prevents a leaving member from eavesdropping future multicast data. Unfortunately, no security mechanisms were foreseen in the initial multicast architectural design [6]. A lot of work has been done these last years to cope with this limitation. We propose to classify current group key management proposals into two approaches:

### 2. Approach A

In this approach all group members share a unique single symmetric key called the Traffic Encryption Key (TEK). This TEK is used by the source to encrypt multicast packets and by the receivers to decrypt them. This approach is mainly used within a centralized architecture where a single key server is responsible for generating and redistributing the new TEK whenever a member joins or leaves the group. Protocols within this approach do not meet scalability requirements since the number of transmitted messages to update TEK is proportional to  $n$ , where  $n$  is the number of group members [12][20]. This is known as the «1 affects  $n$ » phenomenon [19] where a single group membership change (join or leave) results in a re-keying process that disturbs all group members to update TEK. In addition, the use of a single key server leads to a bottleneck problem during TEK

distribution and suffers from a single point of failure. Some distributed solutions are proposed to share re-keying process among different entities and thereby to cope with scalability, bottlenecks and fault tolerance issues, but they remain suffering from the «1 affects n» phenomenon [14] [4]. *Approach B*

In order to cope with the approach A drawbacks («1 affects n», scalability, bottlenecks), in this approach the multicast group is divided into multiple subgroups. Each subgroup shares a local TEK managed by a special entity: the subgroup controller. The set of protocols proposed within this approach (called also: hierarchical key management protocols) are more scalable than centralized protocols. They also attenuate the «1 affects n» problem. In fact, if a member joins or leaves the group, only the concerned subgroup updates its local TEK. However, this improvement is not for free: as subgroups have different TEKs, multicast packets should be decrypted and re-encrypted by subgroup controllers whenever they pass from a subgroup to another. A common drawback of both approaches A and B is that they are not flexible regarding the dynamicity of the group: for multicast applications with few membership changes, protocols using approach A would fit better than others since re-keying would not be frequent and decryption / re-encryption overhead changes, protocols supporting approach B would fit better because of the attenuation of the «1 affects n» phenomenon and a better scalability. Moreover, the frequency of membership changes is generally unpredictable for a large range of multicast applications. Hence, none of both approaches A and B can be the best during all the secure session lifetime.

In this paper we propose to take advantage of both approaches A and B by dynamically adapting the key management process with respect to the frequency of membership changes. To do so we present a new protocol called the Adaptive Key Management Protocol. Within the same secure multicast session, AKMP begins with using a single TEK (approach A behaviour) and dynamically constructs subgroups with different local TEKs (approach B behaviour) whenever the join/leave frequency exceeds a certain threshold.

## II. ADAPTIVE GROUP KEY MANAGEMENT PROTOCOL

The main idea of AKMP is to meet approach A as long as no frequent membership change is depicted by group members and to switch to approach B whenever members show certain dynamicity. What distinguishes AKMP from other hierarchical solutions [17] [19] [7] is restricting decryption / re-encryption process to the sub-networks that are subject to high dynamicity. In AKMP environment, we have several AKMP enabled routers. The protocol begins with a single group that shares a unique TEK. This group is initially managed by one AKMP router. During the multicast secure session, if an AKMP router detects a local dynamicity, it initiates a subgroup with an independent local key. To do so, this AKMP router generates and distributes the local key to the members in the constructed subgroup. This key is called a Downstream Key (DK). Then, the router decrypts received packets using its parent AKMP-router key (this key is called the Upstream Key

(UK) and re-encrypts the packets downstream using DK. We say that this AKMP-router has switched from an inactive state to an active state (or switched to a decryption / re-encryption process). This way, AKMP reduces decryption / re-encryption overhead to the minimum while attenuating the «1 affects n» problem. Each AKMP-router  $R_i$  holds:

- A dynamicity-evaluation function  $I_i$ : this measures the level of dynamicity of the group. This function could be based on a probabilistic model of the group dynamicity. In this paper we will use the following dynamicity-evaluation function:

*if*  $mcf > d$  *then* { switch to decryption/re-encryption proc }

$f_i = true$ ;  
*else*  
 $f_i = false$ ;  
*end*

$mcf$ : membership frequency change

$d$ : threshold

- An upstream key  $UK_i$  and a downstream key  $DK_i$ : the  $UK_i$  is used to decrypt upstream packets in order to re-encrypt them downstream using  $DK_i$ . Note that if  $UK_i = DK_i$  then  $R_i$  is not involved in decryption / re-encryption process.
- A pair of private/public keys ( $K_i^{-1}/K_i$ ) for secure exchanges between AKMP-routers.

AKMP does not require that all routers should be AKMP enabled. All AKMP-routers are grouped in a virtual AKMP tree (Fig.1). Only the AKMP-enabled routers are involved in security mechanisms described below.

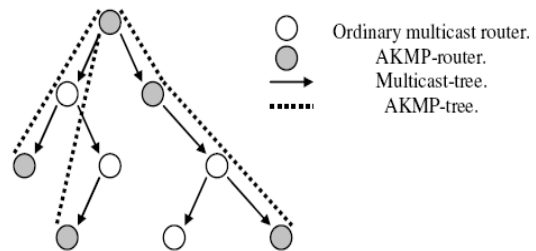


Fig.1. AKMP-tree concept

### 1. Initialization

Group controller (GC) is responsible for announcing the secure session. It also authenticates the AKMP routers and the members before their registration.

### 2. Notation

$[a \rightarrow b: m]$  - "a" send message "m" to "b"  
 $[\{m\}_k]$  - "m" encrypted with "k"  
 $[\langle m \rangle_{k^{-1}}]$  - "m" signed using the private key k-1

### 3. AKMP dynamic aspect

As AKMP is an adaptive solution, the state of AKMP routers is dynamic. Each AKMP-router could be in one of the three states (active, inactive, or waiting new UK):

- Active: an AKMP-router is "active" if it is involved in decryption / re-encryption process.

- Inactive: an AKMP-router is “inactive” if it does not assure decryption / re-encryption process.
- Waiting new UK: an AKMP-router is in this state if it waits for a new UK from an upward AKMP-router which is already involved in decryption / re-encryption process.

When an AKMP-router detects a membership change that yields  $f_i$  true, it becomes “active” (if it is not already “active”) and thus generates a new  $DK_i$  and switches to decryption / re-encryption process as shown in Figure 3.3. The new  $DK_i$  will be considered as the traffic encryption key for the members attached to  $R_i$  and as an upstream key UK for the child routers of  $R_i$ . Thus  $DK_i$  is distributed by  $R_i$  to the attached members (resp. the residual attached members) and the child routers using script 1 (resp. script 2) as shown in Figure 2. A “DK\_UPDATED, old $DK_i$ ” message is sent to the parent AKMP-router (as shown in Figure 2) in order to update and distribute its  $DK_j$  in the case of old $DK_i=DK_j$ . Note that this latter update is necessary to ensure backward and forward confidentiality and that it is not a concern since it happens only for the first time that the child AKMP router switches to decryption / re-encryption process.

If  $f_i$  remains false after a membership change,  $R_i$  forwards this membership change upstream asking a new UK (it sends “NEW\_UK\_REQUEST” message to its upstream AKMP-router) and changes its state into “WAITING\_NEW\_UK” (as shown in Figure 3). This request is forwarded upstream until it reaches the first active AKMP-router  $R_j$ , it is then discarded by the latter which generates a new  $DK_j$ .  $R_j$  distributes then the new  $DK_j$  (inside a special message “UPDATE\_UK”) using script 1 (as shown in Figure 2) and each child AKMP-router  $R_p$  reacts to the message according to the state chart of Figure 3 depending on its state. When  $R_i$  receives  $DK_j$  it resumes its “inactive” state (as shown in Figure 3) and, initializes  $UK_i$  and  $DK_i$  to  $DK_j$  and distributes it using script 1 or 2 depending on whether the membership change is a join or a leave.

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Script 1:
for j=1..nb_attached_members
    Ri → uj : {newDKi}Kij

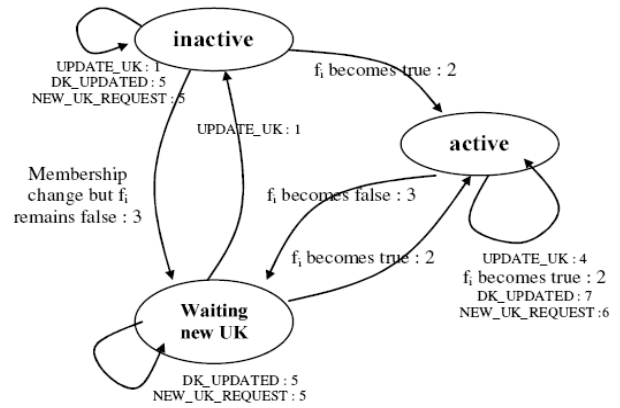
for j=1..nb_child_AKMP-routers
    Ri → Rj : <UPDATE_UK,{newDKi}Kj>Ki-1.
Ri → PARENT_ROUTER: <DK_UPDATED,oldDKi>Ki-1.

Script 2:
/* Distributing newDKi to residual members, uj is the
leaving member */
for p=1..nb_attached_members, p#j
    Ri → up : {newDKi}Kp
for p=1..nb_child_AKMP-routers
    Ri → Rp : <UPDATE_UK,{newDKi}Kp>Ki-1
Ri → PARENT_ROUTER: <DK_UPDATED,oldDKi>Ki-1.
    
```

Fig.2. Distributing New D<sub>k</sub>

If an active AKMP-router detects that there is no longer dynamicity among its attached members, it stops decryption / re-encryption process and rejoins “inactive”

state. A new DK(s) is (are) generated and distributed according to the above process. This manner of dealing with membership changes adapts easily to partial dynamicity and fits better to applications with unpredictable membership behavior.



- Legend : Event : Action**
- 1 : DK<sub>i</sub> = newUK.
  - UK<sub>i</sub> = newUK.
  - Action 2.
  - 2 : Distributes newUK using script (1 or 2) depending on whether the membership change is a join or a leave.
  - 3 : sends NEW\_UK\_REQUEST upstream.
  - 4 : UK<sub>i</sub> = newUK.
  - 5 : forwards the message upstream.
  - 6 : generates and distributes new DK<sub>i</sub> using script 1.
  - 7 : if oldDK<sub>j</sub> = currentDK<sub>i</sub> then action 6.

Fig. 3. AKMP-router state chart

### III. RESULTS AND DISCUSSIONS

In this section, we provide an overview of our simulation model and some of the results we obtained by comparing AKMP with centralized solution.

#### 1. Simulation Model

All schemes discussed in this paper have been tested using Network Simulator NS version 2.34. NS give the facility of defining our own protocols. For defining our own protocol, classes and their functionalities are to be defined using C++. Parallel classes are then need to be defined using OTcl (Object-oriented Tool Command Language) and linking can be done between the OTcl classes and C++ classes.

#### 2. Results

Different test scripts have been written for different sizes of groups as 9, 27, 81, 243, 729 and 1001 members. Trees with 9, 27, 81, 243, 729 members are complete trees whereas those with 1001 members are incomplete trees. These scripts have been written for all CtrMcast and CtrMcastAKMP protocols.

These test scripts are given as input to ns. The overall run-time of these scripts is computed by using the time difference between the start of execution of the script and

end of execution of the script. For getting time, the date command with +%r option is used.

Scripts are used for testing time required for join as well as leave operation for all the group sizes. In all these scripts, node labelled '0' is treated as a Group Controller. The internal nodes in the tree are logical; but while simulating the topologies they have been assumed as real nodes.

After simulation the overall runtime for join operation is summarized in table 1 and is briefed in figure 4.

TABLE 4.1  
 OVERALL RUNTIME OF JOIN OPERATION (IN SECONDS)

No. of Members	CtrlMcast (Time in sec)	AKMP (time in Sec)
9	1	0
27	1	0
81	2	1
243	8	3
729	120	80
1001	162	120

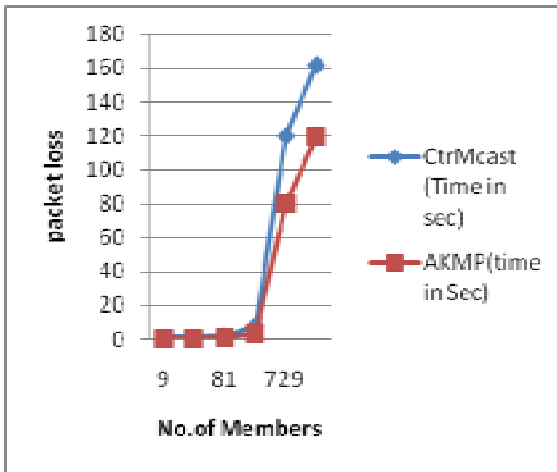


Fig.4. Chart showing performance analysis of Join operation

Using above simulation, overall runtime for leave operation is summarized in table 2 and is briefed in figure 5.

TABLE 4.2  
 OVERALL RUNTIME OF LEAVE OPERATION (IN SECONDS)

No. of Members	CtrlMcast (Time in sec)	AKMP (time in Sec)
9	1	0
27	1	0
81	3	1
243	10	7
729	122	72
1001	164	129

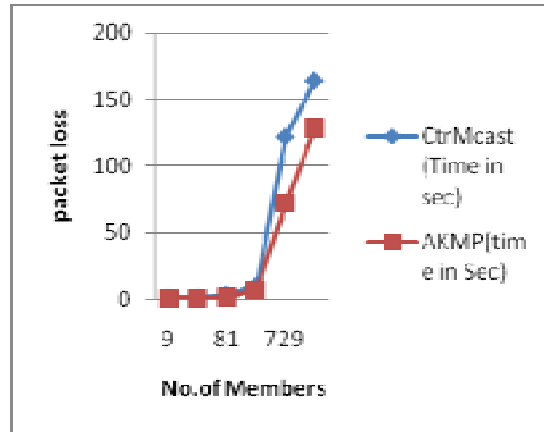


Fig. 5. Chart showing performance analysis of Leave operation

Using above simulation, Overall packet loss for join leave operation is summarized in table 3 and is briefed in figure 6.

TABLE 4.3  
 OVERALL PACKET LOSS OF JOIN LEAVE OPERATION (IN SECONDS)

No. of Members	Packet Loss CtrlMcast	Packet Loss AKMP	Packet Loss Proposed Scheme
9	29	19	9
27	39	26	16
81	44	30	24
243	49	40	29
729	69	59	39
1001	89	74	51

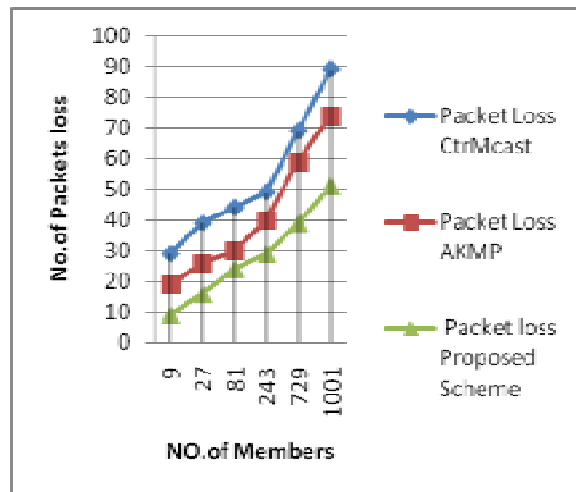


Fig.6. Chart showing Comparison of performance analysis of Join Leave operation with Packet Loss Parameter

#### IV. CONCLUSION

In this paper, Approach A and Approach B group key management protocols are analysed. A proposed scheme is based on combination of Approach A and Approach B protocols. All schemes are simulated using ns2 simulation tool. When dynamicity in the multicast group is increased, greater overall computational and communication cost (run-time) is required for join/leave operation because of problems such as “1 affects n”, decryption and re-encryption overhead.

From performance analysis of all the above schemes for computation and communication cost (i.e. overall run-time) of join / leave operation in dynamic multicast group, it has been observed that overall run-time is minimum for the AKMP.

In comparison with the approach A, approach B and adaptive group key management protocol, performance analysis shows that proposed scheme reduces overall packet loss during the message transfer.

As a result, we can conclude that Adaptive Key Management Protocol takes advantage of both approaches A and B by dynamically adapting the key management process with respect to the frequency of membership changes. Which reduced overall run time cost and packet loss.

As a future scope, the work can be done to reduce the value of parameters like bandwidth, end to end delay, and jitter.

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